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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alexei A. Erchak  
Serial No. : 10/724,006  
Filed : November 26, 2003  
Title : UNIFORM COLOR PHOSPHOR-COATED LIGHT-EMITTING DIODE

Art Unit : 2681  
Examiner : Unknown

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. Applicants also bring to the Examiner's attention the below listed 14 pending U.S. utility patent applications that are owned by the Assignee of the above-noted patent application.

Document Number	Patentee	Filing Date
10/724,004	Alexei A. Erchak et al	11/26/03
10/723,987	Alexei A. Erchak	11/26/03
10/724,033	Alexei A. Erchak	11/26/03
10/724,029	Alexei A. Erchak	11/26/03
10/724,015	Alexei A. Erchak	11/26/03
10/724,005	Alexei A. Erchak	11/26/03
10/735,498	Alexei A. Erchak	12/12/03
10/794,244	Alexei A. Erchak	3/5/04
10/794,452	Alexei A. Erchak	3/5/04
10/872,335	Alexei A. Erchak et al.	6/18/04
10/896,606	Alexei A. Erchak et al.	7/22/04
10/871,877	Alexei A. Erchak et al	6/18/04
10/872,336	Alexei A. Erchak et al.	6/18/04
10/896,435	Alexei A. Erchak et al.	7/22/04

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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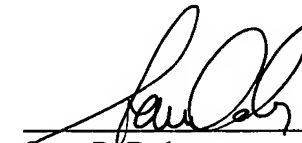
Applicant : Alexei A. Erchak  
Serial No. : 10/724,006  
Filed : November 26, 2003  
Page : 2 of 2

Attorney's Docket No.: 16459-009001

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 10/15/04



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16459-009001	Application No. 10/724,006
<b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Alexei A. Erchak	
		Filing Date November 26, 2003	Group Art Unit 2681

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,359,345	10/25/94	Hunter et al.			
	AB	5,631,190	05/20/97	Negley et al.			
	AC	5,724,062	03/03/98	Hunter et al.			
	AD	5,799,924	07/14/98	Krames et al.			
	AE	5,955,749	09/21/99	Joannopoulos et al.			
	AF	6,071,795	06/06/00	Cheung et al.			
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	AI	6,410,942	06/25/02	Thibeault et al.			
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	AK	2003/0141507	07/31/03	Krames et al.			
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	AR	6,287,882	09/11/01	Chang et al.			
	AS	5,793,062	08/11/98	Kish et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AT	WO 98/14986	04/09/98	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AU	W.S. Wong et al. "Damage-free separation of GaN thin films from sapphire substrates", Appl. Phys. Lett. 72 (5), February 2, 1998, pages 599-601

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

The PTO did not receive the following listed item(s):

~~PTO NOT RECEIVE FOR~~

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16459-009001	Application No. 10/724,006
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Alexei A. Erchak	
		Filing Date November 26, 2003	Group Art Unit 2681

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AV	M.K. Kelly et al. "Optical process for liftoff of Group III-nitride films", Physica Status Solidi; Rapid Research Note, November 28, 1996, 2 pages.
	AW	A. A. Erchak et al. "Enhanced coupling to vertical radiation using a two-dimensional photonic crystal in a semiconductor light-emitting diode", Appl. Phys. Lett. (78 (5), January 29, 2001, pages 563-565
	AX	P.L. Gourley et al. "Optical properties of two-dimensional photonic lattices fabricated as honeycomb nanostructures in compound semiconductors", Appl. Phys. Lett. 64(6), February 7, 1994, pages 687-689
	AY	P.L. Gourley et al. "Optical Bloch waves in a semiconductor photonic lattice", Appl. Phys. Lett. 60 (22), June 1, 1992, pages 2714-2716
	AZ	J.R. Wendt et al. "Nanofabrication of photonic lattice structures in GaAs/AlGaAs", J.Vac. Sci. Technol. B 11(6), November/December 1993, pages 2637-2640
	AAA	M. Krames et al "Introduction to the Issue on High-Efficiency Light-Emitting Diodes", IEEE Journal on selected topic in quantum electronics, Vol. 8, No. 2 March/April 2002, pages 185-188
	ABB	K. Streubel et al.. "High Brightness AlGaInP Light-Emitting Diodes", IEEE Journal on selected topic in quantum electronics, Vol. 8, No. 2, March/April 2002, pages 321-332
	ACC	M. Okai et al. "Novel method to fabricate corrugation for a $\lambda/4$ -shifted distributed feedback laser using a grating photomask", Appl. Phys. Lett. 55(5), July 31, 1989, pages 415-417
	ADD	T.L. Koch et al. "1.55- $\mu$ InGaAsP distributed feedback vapor phase transported buried heterostructure lasers", Appl. Phys. Lett. 47 (1), July 1, 1985, pages 12-14
	AEE	W.T. Tsang et al. "Semiconductor distributed feedback lasers with quantum well or superlattice grating for index or gain-coupled optical feedback", Appl. Phys. Lett. 60 (21), May 25 1992, pages 258-2582
	AFF	M. Zelsmann et al. "Seventy-fold enhancement of light extraction from a defectless photonic crystal made on silicon-on-insulator", Appl. Phys. Lett. 83 (13), September 29, 2003, pages 2542-2544
	AGG	M. Rattier et al. "Omnidirectional and compact guided light extraction from Archimedean photonic lattices", Appl. Phys. Lett. 83 (7), August 18, 2003, pages 1283-1285
	AHH	Y.-J. Lee et al. "A high-extraction-efficiency nanopatterned organic light-emitting diode", Appl. Phys. Lett. 82(21), May 26, 2003, pages 3779-3781
	AII	I. Schnitzer et al. "30% external quantum efficiency from surface textured, thin-film light-emitting diodes", Appl. Phys. Lett. 63 (18), October 18, 1993, pages 2174-2176
	AJJ	M. Boroditsky et al. "Light extraction from optically pumped light-emitting diode by thin-slab photonic crystals", Appl. Phys. Lett. 75 (8), August 23, 1999, pages 1036-1038
	AKK	L. Chen et al. "Fabrication of 50-100 nm Patterned InGaN Blue Light Emitting Heterostructures", Phys. Stat. Sol. (a), 188 (1), 2001, pages 135-138.
	ALL	I. Bulu et al. "Highly directive radiation from sources embedded inside photonic crystals", Appl. Phys. Lett. 83 (16), October 20, 2003, pages 3263-3265
	AMM	T. N. Oder et al. "III-nitride photonic crystals", Appl. Phys. Lett. 83 (6), August 11, 2003, pages 1231-1233
	ANN	M.K. Kelly et al. "Optical patterning of GaN films", Appl. Phys. Lett 68 (12), September 16, 1996, pages 1749-1751

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	